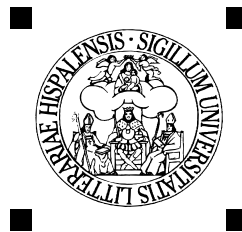


PREPUBLICACIONES DEL DEPARTAMENTO DE ÁLGEBRA  
DE LA UNIVERSIDAD DE SEVILLA

**Explicit constructions in discrete valuations**

Miguel A. Olalla-Acosta

Prepublicación nº 3 (Febrero-2000)



Departamento de Álgebra. Universidad de Sevilla



# EXPLICIT CONSTRUCTIONS IN DISCRETE VALUATIONS

MIGUEL ÁNGEL OLALLA ACOSTA

ABSTRACT. In this paper we give an explicit construction of parametric equations of a discrete valuation. This amounts to find a parameter and a field of coefficients. We devote section 1 to the construction of the parameter, an element of value 1. The field of coefficients is the residue field of the valuation, explicitly constructed in section 2. At the end of this section we give the parametric equations.

## TERMINOLOGY AND PRELIMINARIES

Let  $k$  be an algebraically closed field of characteristic 0,  $R_n = k[[X_1, \dots, X_n]]$ ,  $M_n = (X_1, \dots, X_n)$  the maximal ideal and  $K_n = k((X_1, \dots, X_n))$  the quotient field. Let  $v$  be a rank-one discrete valuation of  $K_n|k$ ,  $R_v$  the valuation ring,  $\mathfrak{m}_v$  the maximal ideal and  $\Delta_v$  the residual field of  $v$ . The center of  $v$  in  $R_n$  is  $\mathfrak{m}_v \cap R_n$ , throughout this paper “discrete valuation of  $K_n|k$ ” means “rank-one discrete valuation of  $K_n|k$  whose center in  $R_n$  be the maximal ideal  $M_n$ ”. The dimension of  $v$  is the transcendence degree of  $\Delta_v$  over  $k$ . We shall suppose that the group of  $v$  is  $\mathbb{Z}$ .

Let  $\widehat{K}_n$  be the completion of  $K_n$  with respect to  $v$ ,  $\widehat{v}$  the extension of  $v$  to  $\widehat{K}_n$ ,  $R_{\widehat{v}}$ ,  $\mathfrak{m}_{\widehat{v}}$  and  $\Delta_{\widehat{v}}$  the ring, maximal ideal and the residual field of  $\widehat{v}$ . We know that  $\Delta_v$  and  $\Delta_{\widehat{v}}$  are isomorphic. Let  $\sigma : \Delta_{\widehat{v}} \rightarrow R_{\widehat{v}}$  be a  $k$ -section of the natural homomorphism  $R_{\widehat{v}} \rightarrow \Delta_{\widehat{v}}$ ,  $\theta \in R_{\widehat{v}}$  an element of value 1 and  $t$  an indeterminate. We consider the  $k$ -isomorphism

$$\Phi = \Phi_{\sigma, \theta} : \Delta_{\widehat{v}}[t] \rightarrow R_{\widehat{v}}$$

given by

$$\Phi \left( \sum \alpha_i t^i \right) = \sum \sigma(\alpha_i) \theta^i,$$

and denote also by  $\Phi$  its extension to the quotient fields; we have a  $k$ -isomorphism  $\Phi^{-1}$  which composed with the order function on  $\Delta_{\widehat{v}}((t))$  gives the valuation  $\widehat{v}$ . This is the situation we shall consider throughout all this paper, and we'll freely use it without new explicit references.

In this paper we shall use two basic transformations to construct an element of value 1 and the residual field:

### 1. monoidal transformation:

$$\begin{aligned} k[[X_1, \dots, X_n]] &\rightarrow k[[Y_1, \dots, Y_n]] \\ X_1 &\mapsto Y_1 \\ X_2 &\mapsto Y_1 Y_2 \\ X_i &\mapsto Y_i, \quad i = 3, \dots, n. \end{aligned}$$

2. *coordinates change:*

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow L[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_1 \\ X_i &\mapsto Y_i + \sigma(b_i)Y_1, \quad i = 2, \dots, n, \end{aligned}$$

with  $L \subset \sigma(\Delta_{\widehat{v}})$  is an extension field of  $k$ .

In both transformations we can see the next facts:

1. The transformations are one to one: In the case of the monoidal transformations this property is well known. In the other case it's a consequence of [5] (corollary 2, page 137).
2. The new variables  $\{Y_1, \dots, Y_n\}$  are formally independent over  $k$  or  $L$ : This is a straight consequence of the previous property.
3. The new rings  $k[Y_1, \dots, Y_n]$  and  $L[Y_1, \dots, Y_n]$  are included in  $R_{\widehat{v}}$ , so we can use the extension  $\widehat{v}$  of  $v$  to extend  $v$  to a discrete valuation  $v'$  of  $k((Y_1, \dots, Y_n))$  or  $L((Y_1, \dots, Y_n))$ , the residual field of  $v'$  is  $\Delta_{\widehat{v}}$ .

Throughout this paper transformation means monoidal transformation, coordinates changes or a finite composition of these.

### 1. CONSTRUCTION OF AN ELEMENT OF VALUE 1

In this section we shall give an effective method to construct an element of value 1 (the parameter of parametric equations).

**Lemma 1.1.** *Let  $\alpha_i = v(X_i)$  for all  $i = 1, \dots, n$ . By a finite number of monoidal transformations we can extend  $v$  to a new valuation  $v_1$  of  $k((Y_1, \dots, Y_n))$  such that  $v_1(Y_i) = v_1(Y_1)$  for all  $i$ .*

*Proof.* We can suppose that  $v(X_1) = \alpha_1 = \min\{\alpha_i | 1 \leq i \leq n\}$  and consider two steps in the algorithm:

**Step 1.-** If exists  $n_i \in \mathbb{Z}$  such that  $\alpha_i = n_i \alpha_1$  for all  $i = 2, \dots, n$ , then for each  $i$  we apply  $n_i - 1$  monoidal transformations like

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow k[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_1 \\ X_i &\mapsto Y_1 Y_i \\ X_j &\mapsto Y_j, \quad j \neq i. \end{aligned}$$

Trivially  $\widehat{v}(Y_i) = \alpha_1$  for all  $i = 1, \dots, n$ .

**Step 2.-** If exists  $i$ , with  $2 \leq i \leq n$ , such that  $v(X_1) = \alpha_1$  doesn't divide to  $v(X_i) = \alpha_i$  (we can suppose that  $i = 2$ ) then  $\alpha_2 = q\alpha_1 + r$ . So we apply  $q$  times the monoidal transformation

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow k[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_1 \\ X_2 &\mapsto Y_1 Y_2 \\ X_i &\mapsto Y_i, \quad i = 3, \dots, n \end{aligned}$$

to obtain a new ring  $k[Y_1, \dots, Y_n]$  where  $\widehat{v}(Y_2) = r > 0$  and is the variable of minimum value.

As the values of the variables are greater than zero, in a finite number of steps 2 we come to the situation of step 1. In fact, this algorithm is equivalent to "Euclidean algorithm" to compute the greatest common divisor of  $\alpha_1, \dots, \alpha_n$ .

□

**Theorem 1.2.** *We can construct an element of value 1 applying a finite number of monoidal transformations and coordinates changes.*

*Proof.* We can suppose that  $v(X_i) = v(X_1) = \alpha$  for all  $i = 2, \dots, n$  by the previous lemma. We can proof that there exists  $b_i \in \Delta_{\hat{v}}$  for each  $i = 2, \dots, n$  such that  $\hat{v}(X_i - \sigma(b_i)X_1) > \alpha$ : We can take

$$(\Phi_{\sigma, \theta})^{-1}(X_i) = \sum_{j \geq \alpha} a_{ij} t^j = \omega_i(t), \quad a_{ij} \in \Delta_{\hat{v}}, \quad a_{i\alpha} \neq 0,$$

so  $b_i = a_{i\alpha}/a_{ij}$ .

**Step 1.-** We apply the coordinate change

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow L[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_1 \\ X_i &\mapsto Y_i + \sigma(b_i)Y_1, \quad i = 2, \dots, n. \end{aligned}$$

With this transformation the new variables have different values.

**Step 2.-** We apply lemma 1.1 to even the values of variables and go to step 1. In any case, the minimum value of the variables don't increase, because this is equivalent to find the greater common divisor of the values of the variables, and the first variable does not change.

Eventually we obtain an element of value 1, then we've finished.

We have to show that the algorithm produce an element of value one in a finite number of transformations. The only way to enter in an infinite process is that, in the step 2, the minimum value of the variables doesn't decrease. This means that, in the step 1, the value of the first variable ever divides to the values of the news variables.

The composition of steps 1 and 2 is the transformation

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow L[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_1 \\ X_i &\mapsto Y_i + \sigma(b_i)Y_1^{m_i}, \quad i = 2, \dots, n. \end{aligned}$$

If we use the steps 1 and 2 infinitely, we have the infinite sequence of transformations

$$\begin{aligned} k[X_1, \dots, X_n] &\rightarrow L[Y_1, \dots, Y_n] \\ X_1 &\mapsto Y_{1,j} \\ X_i &\mapsto Y_{i,j} + \sum_{k=1}^j \sigma(b_{i,k})Y_{1,j}^{m_{i,k}}, \quad i = 2, \dots, n. \end{aligned}$$

Then we have an infinite sequence of variables

$$\begin{aligned} Y_{1,j} &= X_1 \\ Y_{i,j} &= X_1 - \sum_{k=1}^j \sigma(b_{i,k})X_1^{m_{i,k}}, \quad i = 2, \dots, n, \end{aligned}$$

with  $\hat{v}(Y_{i,j}) > \hat{v}(Y_{i,j-1})$  for all  $i, j$ . So any sequence of partial sums of the series

$$X_1 - \sum_{k=1}^{\infty} \sigma(b_{i,k})X_1^{m_{i,k}}, \quad \forall i = 2, \dots, n.$$

have increasing values. Then these series converge to zero in  $R_{\hat{v}}$ , so

$$X_1 = \sum_{k=1}^{\infty} \sigma(b_{i,k})X_1^{m_{i,k}}, \quad \forall i = 2, \dots, n.$$

Let  $f(X_1, \dots, X_n) \in K_n$ , then

$$v(f) = \widehat{v} \left( f \left( X_1, \sum_{k=1}^{\infty} \sigma(b_{2,k}) X_1^{m_{2,k}}, \dots, \sum_{k=1}^{\infty} \sigma(b_{n,k}) X_1^{m_{n,k}} \right) \right) = m \cdot v(X_1).$$

In this situation, the values group of  $v$  is  $v(X_1) \cdot \mathbb{Z}$  (proof in [2]) but we suppose at preliminaries that the group is  $\mathbb{Z}$ , so  $v(X_1) = 1$ .  $\square$

**Example 1.3.** Let us consider the embedding

$$\begin{aligned} \Psi : \mathbb{C}[[X_1, X_2, X_3]] &\rightarrow \mathbb{C}[[t, T_2, T_3]] \\ X_1 &\mapsto t^2 \\ X_2 &\mapsto T_2 t^4 + T_2 t^6 \\ X_3 &\mapsto T_2 t^2 + T_3 t^5 \end{aligned}$$

with  $t, T_2$  and  $T_3$  variables over  $\mathbb{C}$ . We are going to denote  $\Psi$  to its extension to the quotient fields. The composition of this injective homomorphism with the order function in  $t$  gives a discrete valuation of  $\mathbb{C}((X_1, X_2, X_3))|\mathbb{C}$ ,  $v = \nu_t \circ \Psi$ . If we apply the procedure given in this section, then we construct the next element of value 1:

$$\frac{X_3 - \sigma(b_2)X_1}{X_1^2},$$

where  $b_2 \in \Delta_{\widehat{v}}$  such that  $\Psi(\sigma(b_2)) = T_2$ .

## 2. CONSTRUCTION OF THE RESIDUAL FIELD

In this section we give a finite procedure to construct the residual field  $\Delta_v$  of a discrete valuation of  $K_n|k$ , like a transcendental extension of  $k$ . In fact, we shall extend the valuation  $v$  to other valuation  $v'$  such that  $\Delta_v = \Delta_{v'}$ . We want  $v'$  to be “as close as possible” to an order function.

**Remark 2.1.** Preliminary transformation over  $v$ .

- 1) We can suppose that  $v(X_i) = \alpha$  for all  $i = 1, \dots, n$  by lemma 1.1
- 2) In this situation  $v(X_2/X_1) = 0$ , so  $0 \neq (X_2/X_1) + \mathfrak{m}_v \in \Delta_v$ . If this residue is in  $k$  then exists  $a_{21} \in k$  such that

$$\frac{X_2}{X_1} + \mathfrak{m}_v = a_{21} + \mathfrak{m}_v,$$

so

$$\frac{X_2}{X_1} - a_{21} = \frac{X_2 - a_{21}X_1}{X_1} \in \mathfrak{m}_v,$$

and then

$$v \left( \frac{X_2 - a_{21}X_1}{X_1} \right) > 0.$$

So we have  $v(X_2 - a_{21}X_1) = \alpha_1 > \alpha$ . If  $\alpha$  divides to  $\alpha_1$  then  $\alpha_1 = r_1\alpha$  with  $r_1 \geq 2$  and

$$v \left( \frac{X_2 - a_{21}X_1}{X_1^{r_1}} \right) = 0.$$

If the residue of this element is too in  $k$ , then exist  $a_{2r_1} \in k$  such that  $v(X_2 - a_{21}X_1 - a_{2r_1}X_1^{r_1}) = \alpha_2 > \alpha_1$ . If  $\alpha$  divides to  $\alpha_2$  then  $\alpha_2 = r_2\alpha$  with  $r_2 > r_1$  and we can repeat this operation.

3) The previous procedure is finite. If it doesn't stop we can construct the series

$$X_2 - \sum_{i=1}^{\infty} a_{2i} X_1^i$$

such that the sequence of partial sums has increasing values. So this series is zero in contradiction with  $X_1$  and  $X_2$  are formally independent variables.

4) We can apply this procedure to all the variables  $X_j$   $j = 2, \dots, n$ , then we have the transformations:

$$Y_1 = X_1, \\ Y_j = X_j - \sum_{i=1}^{s_i} a_{ji} X_1^i, \quad j = 2, \dots, n,$$

such that, in the ring  $k[[Y_1, \dots, Y_n]]$ , occurs one of the next two situations:

- a)  $v(Y_j) = v(Y_1)$  and the residue of  $Y_j/Y_1$  is not in  $k$ , or
- b)  $v(Y_j) \neq v(Y_1)$  and  $v(Y_1)$  does not divide to  $v(Y_j)$ .

In the case a), we make the transformation

$$Z_j = Y_j - \sum_{i=1}^{s-1} a_{ji} Y_1^i, \quad j \neq 1,$$

with  $s$  such that  $Z_j/Y_1^s$  is the first residue which is not in  $k$ , as in the previous procedure.

In the case b) we can make the transformation

$$Z_j = Y_j - \sum_{i=1}^{s-1} a_{ji} Y_1^i, \quad j \neq 1,$$

with  $s$  such that  $v(Z_j)$  is the first which  $v(Y_1)$  doesn't divide to  $v(Y_j)$ .

In any case, these transformations are the composition of monoidal transformations and coordinates changes. After these transformations we make a finite number of monoidal transformations to even the value of the variables. The new value is minor than the old ( $v(X_1)$ ).

Anyway this procedure stops, because the value of the variables are major or equal than 1.

5) Then we can suppose that  $v$  is a discrete valuation of  $K_n|k$  such that  $v(X_i) = v(X_1) = \alpha$  and the residues  $X_i/X_1 + \mathfrak{m}_v$  are not in  $k$  for all  $i = 2, \dots, n$ . If we are not in this situation then we apply the previous procedure to do it.

The proof of the next lemma is straightforward from ([2], theorem 2.4):

**Lemma 2.2.** *Let  $v$  be a discrete valuation of  $K_n|k$ . If  $v$  is such that  $v(f_r) = r\alpha$  for all form  $f_r$  of degree  $r$  respect the usual degree, then the values group of  $v$  is  $\alpha \cdot \mathbb{Z}$ .*

In the case of two variables we have the next

**Theorem 2.3.** *In the case  $n = 2$ , the extension of the valuation  $v$  to the quotient field of the ring constructed by the procedure of remark 2.1 is the usual order function.*

*Proof.* After a finite number of transformations we are in the situation of the end of remark 2.1, we note  $v$  to the extension to simplify. Let  $\sigma : \Delta_{\hat{v}} \rightarrow R_{\hat{v}}$  a  $k$ -section

of  $R_{\widehat{v}} \rightarrow \Delta_{\widehat{v}}$ ,  $u_2 = \sigma(X_2/X_1 + \mathfrak{m}_{\widehat{v}})$ ,  $h \neq 0$  a form of degree  $r$  and  $\gamma = X_2 - u_2X_1$ . By the construction of  $u_2$  we know that  $\widehat{v}(\gamma) > \alpha$ . Then

$$h(X_1, X_2) = h(X_1, u_2X_1 + \gamma) = X_1^r h(1, u_2) + \gamma',$$

where  $\gamma'$  is such that  $v(\gamma') > r\alpha$  (by the Newton's binomial). Like  $u_2 \notin k$ , then  $u_2$  is transcendental over  $k$ , so  $h(1, u_2) \neq 0$  and  $v(h) = r\alpha$ . By the previous lemma, the values group of  $v$  is  $\alpha \cdot \mathbb{Z}$ , so  $\alpha = 1$  and  $v$  is the usual order function.  $\square$

**Remark 2.4.** We are going to construct the residual field of  $v$  as an extension of  $k$ . To do it we are going to survey all the variables searching those residues which generate the extension. Then we are going to move between  $R_{\widehat{v}}$  and  $\Delta_{\widehat{v}}$  by the  $k$ -section  $\sigma$  and the natural homomorphism  $\Delta_{\widehat{v}} \rightarrow R_{\widehat{v}}$ . In fact we are going to construct the  $k$ -section  $\sigma$  step by step. In this sense we have to do the next remarks:

1) Let us consider the diagram

$$\begin{array}{ccc} R_{\widehat{v}} & \begin{array}{c} \xleftarrow{\sigma} \\ \xrightarrow{\varphi} \end{array} & \Delta_{\widehat{v}} \\ \uparrow & & \uparrow \\ \mathbb{F} & \begin{array}{c} \xleftarrow{\sigma} \\ \xrightarrow{\varphi} \end{array} & \mathbb{F}' \\ \uparrow & & \uparrow \\ k & \xrightarrow{id} & k \end{array}$$

where  $\mathbb{F}$  and  $\mathbb{F}'$  are subfields of  $R_{\widehat{v}}$  and  $\Delta_{\widehat{v}}$  respectively. Let  $\omega \in R_{\widehat{v}}$  an element such that  $\widehat{v}(\omega) = 0$ , the question is: if  $\omega + \mathfrak{m}_{\widehat{v}}$  is transcendental over  $\mathbb{F}'$ , is  $\sigma(\omega + \mathfrak{m}_{\widehat{v}})$  transcendental over  $\mathbb{F}$ ? What happen in the algebraic case?

Then we suppose that  $\omega + \mathfrak{m}_{\widehat{v}}$  is transcendental over  $\mathbb{F}'$ . Let  $f(X) \in \mathbb{F}'[X]$  be a non-zero polynomial. Let us put

$$f(X) = \sum_{i=0}^n \sigma(a'_i)X^i, \quad a'_i \in \mathbb{F}'.$$

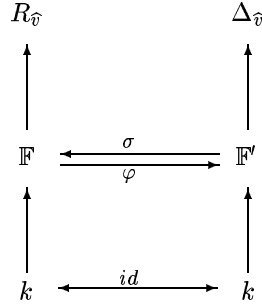
Then

$$f(\sigma(\omega + \mathfrak{m}_{\widehat{v}})) = \sum_{i=0}^n \sigma(a'_i)\sigma(\omega + \mathfrak{m}_{\widehat{v}})^i = \sigma\left(\sum_{i=0}^n a'_i(\omega + \mathfrak{m}_{\widehat{v}})^i\right) \neq 0$$

because  $\omega + \mathfrak{m}_{\widehat{v}}$  is transcendental over  $\mathbb{F}'$ . So we've proof that  $\sigma(\omega + \mathfrak{m}_{\widehat{v}})$  is transcendental over  $\mathbb{F}$  if  $\omega + \mathfrak{m}_{\widehat{v}}$  is transcendental over  $\mathbb{F}'$

2) In the algebraic case let us consider the next diagram:





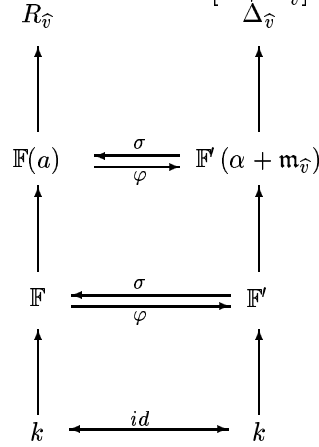
Let  $\alpha + \mathfrak{m}_{\widehat{v}} \in \Delta_{\widehat{v}}$  be an algebraic element over  $\mathbb{F}'$ , with  $\widehat{v}(\alpha) = 0$  (i.e.  $\alpha + \mathfrak{m}_{\widehat{v}} \neq 0$ ). Let

$$\overline{f}(X) = X^m + \beta_1 X^{m-1} + \cdots + \beta_m \in \mathbb{F}'[X]$$

be its minimal polynomial over  $\mathbb{F}'$ . Let us take the polynomial

$$f(X) = X^m + b_1 X^{m-1} + \cdots + b_m \in \mathbb{F}[X], \text{ with } b_i = \sigma(\beta_i).$$

By the Hensel's Lemma ([5], corollary 1, page 279) we know that exists  $a \in R_{\widehat{v}}$  such that  $a$  is a simple root of  $f(X)$  y  $\varphi(a) = \alpha + \mathfrak{m}_{\widehat{v}}$ . As  $\varphi\sigma = id$ ,  $f(X)$  is the minimal polynomial of  $a$ , so we can extend  $\sigma : \mathbb{F}'[\alpha + \mathfrak{m}_{\widehat{v}}] \rightarrow \mathbb{F}[a]$ . Then we have



Let us consider the set

$$\Omega = \{(\mathbb{F}_1, \sigma_1) | \mathbb{F}_1 \supset \mathbb{F} \text{ and } \sigma_1 \text{ extends } \sigma\}$$

ordered by the next way

$$(\mathbb{F}_1, \sigma_1) < (\mathbb{F}_2, \sigma_2) \iff \mathbb{F}_1 \subset \mathbb{F}_2 \text{ and } \sigma_2|_{\mathbb{F}_1} = \sigma_1.$$

By Zorn's lemma there exists a maximal element  $(\mathbb{L}, \sigma') \in \Omega$ , and again by Hensel's lemma ([5], corollary 2, page 280) we have  $\varphi(\mathbb{L}) = \Delta_{\widehat{v}}$  such away. So we can extend  $\sigma$  to a  $k$ -section  $\sigma'$  of  $\varphi$  in such way that  $a = \sigma'(\alpha + \mathfrak{m}_{\widehat{v}})$  is an algebraic element over  $\mathbb{F}$ .

**3)** In the next remarks we are going to give an explicit construction of the residual field of  $v$  as a subfield of  $R_{\widehat{v}}$ . The points **1)** and **2)** of these remark are useful to do it, because if  $\omega + \mathfrak{m}_{\widehat{v}} \in \Delta_{\widehat{v}}$ ,  $\widehat{v}(\omega) = 0$ , is a transcendental (resp. algebraic) element over  $\mathbb{F}'$ , exists a  $k$ -section of  $\varphi$  which extends  $\sigma$  and  $\sigma(\omega + \mathfrak{m}_{\widehat{v}})$  is transcendental (resp. algebraic) over  $\mathbb{F}$ .

$$\begin{array}{ccc}
R_{\hat{v}} & \xleftrightarrow[\varphi]{\sigma'} & \Delta_{\hat{v}} \\
\uparrow & & \uparrow \\
\mathbb{F} & \xleftrightarrow[\varphi]{\sigma} & \mathbb{F}' \\
\uparrow & & \uparrow \\
k & \xleftrightarrow{id} & k
\end{array}$$

**Remark 2.5.** Let us go to the general case, suppose that  $n > 2$  and we have applied the procedure of remark 2.1 to construct a discrete valuation of  $K_n|k$  such that

- a) The value of the variables are  $\alpha \in \mathbb{Z}$ .
- b) The residues of  $X_i/X_1$  are transcendental over  $k$ .
- 1) The theorem 2.3 can be reinterpreted in the general case saying that, by a finite number of transformations, we can construct a discrete valuation  $v$  such that its restriction to  $k((X_1, X_2))$  is the usual order function multiplied by  $\alpha$ .
- 2) The expressions

$$\begin{cases} X_1 = t^\alpha \\ X_2 = u_2 t^\alpha \end{cases}$$

are a parametric equations of the valuation

$$v_2 = v|_{k((X_1, X_2))}.$$

The residue field  $\Delta_2$  of  $v_2$  is a purely transcendental extension of  $k$  of transcendence degree 1, generated by  $X_2/X_1 + \mathfrak{m}_v$ .  $\sigma_2 : \Delta_2 \rightarrow R_{v_2}$  defined by

$$\sigma_2 \left( \frac{X_2}{X_1} + \mathfrak{m}_v \right) = \frac{X_2}{X_1}$$

is a  $k$ -section of the natural homomorphism. We know that exists a  $k$ -section  $\sigma$  which extends  $\sigma_2$  in the sense of the previous remark.

3) Let us suppose that the residue of  $X_3/X_1$  is algebraic over  $k((X_2/X_1) + \mathfrak{m}_v)$ , and let  $u_{31}$  be its image by  $\sigma$ . Then  $v(X_3 - u_{31}X_1) = \alpha_1 > \alpha$ . If  $\alpha$  divides to  $\alpha_1$  then there exists  $u_{3r} \in \text{Im}(\sigma)$  and  $r > 1$  such that  $v(X_3 - u_{31}X_1 - u_{3r}X_1^r) = \alpha_2 > \alpha_1$ . Let us suppose that  $u_{3r}$  is algebraic over  $k(u_2)$  too and  $\alpha$  divides to  $\alpha_2$ . Then we can meet in one of the three situations shown in the next points.

4) The first situation is that, after a finite number of transformations, we obtain a value  $\alpha_s$  such that  $\alpha$  does not divide it. Then we make the transformation

$$Y_3 = X_3 - \sum_{j=1}^s u_{3j} X_1^j,$$

with  $u_{3j}$  algebraic over  $k(u_2)$  for all  $j = 1, \dots, s$ . So we have to apply transformations to even the values of the variables and begin with all the procedure described in this section. When this situation occurs, the value of the variables decrease, so we can suppose that after a finite number of transformations we have reached an strict minimum value (it can be 1) of the variables in all this procedure. We shall denote this value by  $\alpha$  in order not to complicate the notation. So we can suppose that this situation will never occur again for any variable.

5) The second situation is that, after a finite number of steps, we have a transcendental residue of  $k(u_2)$ . This means that by making the transformation

$$Y_3 = X_3 - \sum_{j=1}^{s_3} u_{3j} X_1^j,$$

and applying a finite number of monoidal transformation to equal the value of new variable we have the ring  $L_3[[X_1, X_2, Z_3, X_4, \dots, X_n]]$ , where  $L_3 = k[\{u_{3j}\}_{j=1}^{s_3}]$  and the residue  $(Z_3/X_1) + \mathfrak{m}_{\hat{\mathfrak{v}}}$  is transcendental over  $k(u_2)$ . We shall note  $\Delta_3 = k(u_2, \{u_{3j}\}_{j=1}^{s_3}, u_3)$ .

6) In this situation, if  $n = 3$  then  $\alpha = 1$  and the extension of  $v$  to  $L((X_1, X_2, Z_3))$  is the usual order function, in analogy with the case  $n = 2$  (theorem 2.3)

7) The last situation is that all the residues obtained are algebraic elements. Then we have the next theorem

**Theorem 2.6.** *In this situation, if all the residues are algebraic elements and  $\{u_{3j}\}_{j \geq 1}$  are their images under  $\sigma$  ( $k$ -section constructed as in remark 2.4), then the algebraic extension*

$$k(u_2) \subset k(u_2, \{u_{3j}\}_{j \geq 1}) = \Delta_3$$

has infinite degree.

*Proof.* We have

$$X_3 - \sum_{j \geq 1} u_{3j} X_1^j = 0,$$

because the sequence of partial sums of this series has increasing values. Let us suppose that this extension has finite degree  $r$ . Let  $\delta$  be a primitive element of the extension and  $\{\delta = \delta_1, \delta_2, \dots, \delta_r\}$  the set of conjugates of  $\delta$  in the minimal normal extension which contains  $k(u_2, \delta)$ . Let us consider the next list of elements

$$\begin{cases} \eta_1 = \varphi_0(X_1) + \varphi_1(X_1)\delta_1 + \dots + \varphi_{r-1}(X_1)\delta_1^{r-1} \\ \vdots \\ \eta_r = \varphi_0(X_1) + \varphi_1(X_1)\delta_r + \dots + \varphi_{r-1}(X_1)\delta_r^{r-1} \end{cases}$$

where  $\varphi(X_i) \in k(u_2)((X_1))$ , and  $\eta_i$  is  $\sum_{j \geq 1} u_{3j} X_1^j$  wrote as a linear combination of the base of the extension  $\{\delta_1, \delta_1^2, \dots, \delta_1^r\}$ . By general properties of Galois extension we have

$$\prod_{i=1}^r (Y - \eta_i) = P(Y) \in k(u_2)[[X_1]][Y].$$

Then  $P(X_3) = 0$ , in contradiction to the fact that  $X_1, X_2, X_3$  are formally independent.  $\square$

**Remark 2.7.** In all this paper we are supposing that after each transformation we come to denote by  $X_i$  to the variables, in order to simplify the notations.

**Remark 2.8.** Let us suppose that we have repeated the construction of remark 2.5 with each variable  $X_4, \dots, X_{i-1}$ , so we have a field

$$\Delta_{i-1} = k(u_2, \zeta_3, \dots, \zeta_{i-1}) \subset \sigma(\Delta_{\hat{\mathfrak{v}}}),$$

where each  $\zeta_k$  is: or  $\{\{u_{kj}\}_{j=1}^{s_k}, u_k\}$  if  $\{u_{kj}\}_{j=1}^{s_k}$  are algebraic elements over  $\Delta_{k-1}$  and  $u_k = \sigma((Z_k/X_1) + \mathfrak{m}_{\hat{\mathfrak{v}}})$  is a transcendental element over  $\Delta_{k-1}$  (i.e. the situation of remark 2.5 5) ), or  $\{u_{kj}\}_{j \geq 1}$  if  $\Delta_{k-1} \subset \Delta_k$  is an algebraic extension of infinite

degree (i.e. the situation of remark 2.5 7)). So we have two possible situations to the variable  $X_i$ :

1) Exists a transformation

$$Y_i = X_i - \sum_{j=1}^{s_i} u_{ij} X_1^j,$$

where the elements  $u_{ij}$  are algebraic over  $\Delta_{i-1}$  and a finite number of monoidal transformations such that we have the ring  $L_i[[X_1, \dots, X_{i-1}, Z_i, X_{i+1}, \dots, X_n]]$ , with  $L_i = L_{i-1}(\{u_{ij}\}_{j=1}^{s_i})$  finite algebraic extension of  $L_{i-1}$  and  $u_i = \sigma((Z_i/X_1) + \mathfrak{m}_{\hat{v}})$  is a transcendental element over  $\Delta_{i-1}$ . So we have the transcendental extension

$$\Delta_{i-1} \subset \Delta_{i-1}(\{u_{ij}\}_{j=1}^{s_i}, u_i) = \Delta_i.$$

2) All the elements  $u_{ij}$  which we've constructed are algebraic over  $\Delta_{i-1}$ , so we have the infinite algebraic extension

$$\Delta_{i-1} \subset \Delta_{i-1}(\{u_{ij}\}_{j \geq 1}) = \Delta_i.$$

The proof of this fact is equal to the proof of theorem 2.6. In order to preserve a coherent notation we put  $L_i = L_{i-1}$  in this case.

In these remarks we give an explicit construction of a ring  $R_n \subset L_n[[X_1, \dots, X_n]] \subset R_{\hat{v}}$  such that the extension of  $v$  (let us denote it again by  $v$ ) to his quotient field  $L_n((X_1, \dots, X_n))$  satisfy the next properties:

1. The residual fields of these valuations, the initial and the extended, coincide because this extension is into the extension to  $\hat{v}$ , so both are equal to  $\Delta_{\hat{v}}$ .
2. By reordering of variables, we can suppose that the first  $m$  variables give us all the transcendental residues over  $k$ , i.e. the residue of each  $X_i/X_1$  is transcendental over  $\Delta_{i-1}$  with  $i = 2, \dots, m$ . So the rest of variables  $X_{m+1}, \dots, X_n$  are such that we enter in the procedure of remark 2.5 7).
3. The restriction  $v|_{L_n((X_1, \dots, X_m))}$  is the usual order function multiplied by  $\alpha \in \mathbb{Z}$ .
4. With the usual notations, the algebraic extension

$$\Delta_m \subset \Delta_m(\{u_{ij}\}_{j \geq 1}), \quad i = m+1, \dots, n$$

is infinite.

So we have the next result

**Theorem 2.9.** *The residual field of this valuation  $v$  of  $L_n((X_1, \dots, X_n))|L_n$  (and so of the initial valuation) is*

$$\Delta_n = k(u_2, \{u_{3,j}\}_{j=1}^{s_3}, u_3, \dots, \{u_{m,j}\}_{j=1}^{s_m}, u_m) (\{u_{m+1,j}\}_{j \geq 1}, \dots, \{u_{n,j}\}_{j \geq 1}),$$

and the transcendence degree of  $\Delta_n$  over  $k$  is  $m-1$ .

*Proof.* In this section we have given a construction to write the variables in function of  $X_1$  and some transcendental and algebraic residues. So we have constructed the embedding

$$\begin{aligned} \varphi : L_n[[X_1, \dots, X_n]] &\hookrightarrow \Delta_n[[t]] \\ X_1 &\mapsto t^\alpha \\ X_i &\mapsto u_i t^\alpha, \quad i = 2, \dots, m \\ X_k &\mapsto \sum_{j \geq \alpha} u_{k,j} t^j, \quad u_{k,\alpha} \neq 0, \quad k = m+1, \dots, n. \end{aligned}$$

Let us denote  $\varphi$  its extension to the quotient field, let  $\nu$  the usual order function over  $\Delta_n((t))$ . By the previous construction we have  $v = \nu \circ \varphi$ . So the residual field of  $v$  is equal to the residual field of  $\nu$ , i.e.  $\Delta_n$ .  $\square$

A straight consequence of this theorem is the following well-known result

**Corollary 2.10.** *The usual order function over  $K_n$  has dimension  $n - 1$ , i.e. the transcendence degree of its residual field over  $k$  is  $n - 1$ .*

Through the constructions of the remarks 2.1, 2.5 and 2.8 we arrive at a corollary which generalize the results of [2, 3]

**Corollary 2.11.** *Let  $v$  be a discrete valuation of  $K_n|k$ . The next conditions are equivalent:*

- 1) *The transcendence degree of  $\Delta_{\hat{v}}$  over  $k$  is  $n - 1$ .*
- 2) *Exists a finite sequence of monoidal transformations and coordinates change which transform  $v$  in an order function.*

We can resume the constructions of this section in the next theorem

**Theorem 2.12.** *Let  $v$  be a discrete valuation of  $K_n|k$ , then*

1. *If the dimension of  $v$  is  $n - 1$ , we can embed  $k[[X_1, \dots, X_n]]$  into a ring  $L[[Y_1, \dots, Y_n]]$ , where  $L \subset \sigma(\Delta_{\hat{v}})$  and the extended valuation of  $v$  over the field  $L((Y_1, \dots, Y_n))$  is the usual order function.*
2. *If the dimension of  $v$  is  $m - 1 < n - 1$ , we can embed  $k[[X_1, \dots, X_n]]$  into a ring  $L[[Y_1, \dots, Y_n]]$ , where  $L \subset \sigma(\Delta_{\hat{v}})$  and the restriction into  $L((Y_1, \dots, Y_n))$  of the extended valuation of  $v$  over  $L((Y_1, \dots, Y_n))$  is the usual order function multiplied by  $\alpha$ .*

**Example 2.13.** Let us consider the embedding

$$\begin{aligned} \Psi : \mathbb{C}[[X_1, X_2, X_3, X_4]] &\rightarrow \mathbb{C}[[t, T_2, T_3, T_4]] \\ X_1 &\mapsto t \\ X_2 &\mapsto T_2 t \\ X_3 &\mapsto T_2^2 t + T_2 t^2 + T_3 t^3 \\ X_4 &\mapsto T_2^3 t + T_2^2 t^2 + T_3 t^3 + T_4 t^4, \end{aligned}$$

with  $t, T_2, T_3$  and  $T_4$  variables over  $\mathbb{C}$ . We are going to denote  $\Psi$  to its extension to the quotient fields. The composition of this injective homomorphism with the order function in  $t$  gives a discrete valuation of  $\mathbb{C}((X_1, X_2, X_3, X_4))|_{\mathbb{C}}$ ,  $v = \nu_t \circ \Psi$ . The residues of  $X_i/X_1$  are not in  $\mathbb{C}$  for  $i = 2, 3, 4$ .

Let us put  $u_2 = \sigma(X_2/X_1 + \mathfrak{m}_v)$  transcendental element over  $\mathbb{C}$ . By remarks 2.4 we know that we can construct  $\sigma$  step by step, so let take us  $u_2 = X_2/X_1$  and  $\Delta_2 = \mathbb{C}(u_2)$ .

The residue  $X_3/X_1 + \mathfrak{m}_v$  is algebraic over  $\mathbb{C}(u_2)$ , in fact

$$\frac{X_3}{X_1} + \mathfrak{m}_v = \frac{X_2^2}{X_1^2} + \mathfrak{m}_v.$$

So we can take  $u_{31} = \sigma((X_3/X_1) + \mathfrak{m}_v) = u_2^2$ . The value of  $X_3 - u_{31}X_1$  is 2, the we have to see if the residue

$$\frac{X_3 - u_{31}X_1}{X_1^2} + \mathfrak{m}_v$$

is algebraic over  $\mathbb{C}(u_2)$ . We have that

$$\frac{X_3 - u_{31}X_1}{X_1^2} + \mathfrak{m}_v = \frac{X_2}{X_1} + \mathfrak{m}_v,$$

so it is algebraic and we can take  $u_{32} = u_2$ . Now  $v(X_3 - u_{31}X_1 - u_{32}X_1^2) = 3$  and we have to check if

$$\frac{X_3 - u_{31}X_1 - u_{32}X_1^2}{X_1^3} + \mathfrak{m}_v$$

is algebraic over  $\Delta_2$ , in this case, as

$$\Psi \left( \frac{X_3 - u_{31}X_1 - u_{32}X_1^2}{X_1^3} + \mathfrak{m}_v \right) = T_3,$$

this residue is transcendental. So we take

$$u_3 = \sigma \left( \frac{X_3 - u_{31}X_1 - u_{32}X_1^2}{X_1^3} + \mathfrak{m}_v \right) = \frac{X_1X_3 - X_2^2 - X_1^2X_2}{X_1^4}.$$

Let us take  $\Delta_3 = \mathbb{C}(u_2, u_3)$ .

Now we have to apply this procedure to the variable  $X_4$ . The residue  $X_4/X_1 + \mathfrak{m}_v$  is algebraic over  $\Delta_3$  because

$$\frac{X_4}{X_1} + \mathfrak{m}_v = \frac{X_2^3}{X_1^3} + \mathfrak{m}_v,$$

so we can take  $u_{41} = \sigma((X_4/X_1) + \mathfrak{m}_v) = u_2^3 \in \Delta_3$ .  $v(X_4 - u_{41}X_1) = 2$ , so we have to check what happens with the residue

$$\frac{X_4 - u_{41}X_1}{X_1^2} + \mathfrak{m}_v.$$

Now

$$\frac{X_4 - u_{41}X_1}{X_1^2} + \mathfrak{m}_v = \frac{X_1^2}{X_2^2} + \mathfrak{m}_v,$$

so it holds

$$u_{42} = \sigma \left( \frac{X_4 - u_{41}X_1}{X_1^2} + \mathfrak{m}_v \right) = u_2^2.$$

As  $v(X_4 - u_{41}X_1 - u_{42}X_1^2) = 3$  and

$$\frac{X_4 - u_{41}X_1 - u_{42}X_1^2}{X_1^3} + \mathfrak{m}_v = \frac{X_1X_3 - X_2^2 - X_1^2X_2}{X_1^4} + \mathfrak{m}_v,$$

we have

$$u_{43} = \sigma \left( \frac{X_4 - u_{41}X_1 - u_{42}X_1^2}{X_1^3} + \mathfrak{m}_v \right) = u_3.$$

The next residue is transcendental because  $v(X_4 - u_{41}X_1 - u_{42}X_1^2 - u_{43}X_1^3) = 4$  and

$$\Psi \left( \frac{X_4 - u_{41}X_1 - u_{42}X_1^2 - u_{43}X_1^3}{X_1^4} \right) = T_4.$$

Then we can take

$$\begin{aligned} u_4 &= \sigma \left( \frac{X_4 - u_{41}X_1 - u_{42}X_1^2 - u_{43}X_1^3}{X_1^4} + \mathfrak{m}_v \right) = \\ &= \frac{X_1^2X_4 - X_3^2 - X_1^2X_2^2 - X_1^2X_3 - X_1X_2^2 - X_1^2X_2}{X_1^6}. \end{aligned}$$

So the residual field of  $v$  is

$$\Delta_v = \mathbb{C} \left( \frac{X_2}{X_1} + \mathfrak{m}_v, \frac{X_1 X_3 - X_2^2 - X_1^2 X_2}{X_1^4} + \mathfrak{m}_v, \frac{X_1^2 X_4 - X_3^2 - X_1^2 X_2^2 - X_1^2 X_3 - X_1 X_2^2 - X_1^2 X_2}{X_1^6} + \mathfrak{m}_v \right).$$

Then, by the transformation

$$\begin{aligned} X_1 &\rightarrow Y_1 \\ X_2 &\rightarrow Y_2 \\ X_3 &\rightarrow Y_1^2 Y_3 + u_{3,1} Y_1 + u_{3,2} Y_1^2 \\ X_4 &\rightarrow Y_1^3 Y_4 + u_{4,1} Y_1 + u_{4,2} Y_1^2 + u_{4,3} Y_1^3, \end{aligned}$$

we extend the valuation  $v$  to one that is the usual order function over the field  $\mathbb{C}((Y_1, Y_2, Y_3, Y_4))|\mathbb{C}$ .

**Remark 2.14.** Exists discrete valuations of  $K_n|k$  of dimension strictly less than  $n - 1$ . [1] gives an example of a discrete valuation of  $K_3|k$  of dimension 1, and [4] proves that exists discrete valuations of  $K_n|k$  of dimension any number between 1 and  $n - 1$ , this proof is constructive.

#### REFERENCES

1. E. Briales, *Construcción explícita de valoraciones discretas de rango 1*, Ph.D. thesis, Universidad de Sevilla, 1986.
2. ———, *Constructive theory of valuations.*, Comm. Algebra **17** (1989), no. 5, 1161–1177.
3. E. Briales and F.J. Herrera, *Construcción explícita de las valoraciones de un anillo de series formales en dos variables.*, Actas X Jornadas Hispano-Lusas (Murcia), vol. II, 1985, pp. 1–10.
4. M.A. Olalla, *On the dimension of discrete valuations of  $k((x_1, \dots, x_n))$* , Preprint, Universidad de Sevilla, February 2000.
5. O. Zariski and P. Samuel, *Commutative algebra.*, Graduate Texts in Mathematics, vol. II, Springer-Verlag, New York-HeidelBerg-Berlin, 1960.

DEPARTAMENTO DE ÁLGEBRA, UNIVERSIDAD DE SEVILLA, ESPAÑA  
E-mail address: olalla@algebra.us.es